

COPS Application Attachment to SF-424**SECTION 1: COPS PROGRAM REQUEST**

Federal assistance is being requested under the following COPS program:

*Select the COPS grant program for which you are requesting federal assistance. A **separate application must be completed for each COPS program for which you are applying**. Please ensure that you read, understand, and agree to comply with the applicable grant terms and conditions as outlined in the COPS Application Guide before finalizing your selection.*

CHECK ONE PROGRAM OPTION ONLY

Secure Our Schools

SECTION 2: Agency Eligibility Information

A. Type of Agency (select one)

☒

Law Enforcement

☐

Non-Law Enforcement

From the list below, please select the type of agency which best describes the applicant.

Law Enforcement Entities

Municipal Police

SECTION 2: Agency Eligibility Information

2B: SOS Eligibility Questions

1. Was this grant application prepared after consultation with individuals not limited to law enforcement officers (such as school violence researchers, child psychologists, social workers, teachers, principals, and other school personnel)?
- ☒ Yes ☐ No
2. Was this application prepared in a manner consistent with a comprehensive approach to preventing school violence?
- ☒ Yes ☐ No
3. Was this application individualized to the needs of each school at which those improvements are to be made?
- ☒ Yes ☐ No
4. Does your agency have primary law enforcement authority for the schools/school districts targeted through this grant proposal?
- ☒ Yes ☐ No
5. Are the schools/school districts targeted through this grant proposal all primary or secondary schools (i.e., kindergarten through 12th grade)?
- ☒ Yes ☐ No
6. Do these schools all teach the basic school curriculum (e.g., math, science, reading)?
- ☒ Yes ☐ No
7. Will the funds awarded solely benefit the primary or secondary schools targeted through this grant proposal?
- ☒ Yes ☐ No
8. Is your agency partnering with a school/school district?
- ☒ Yes ☐ No

Section 3: GENERAL AGENCY INFORMATION

A. Applicant ORI Number: IA00703

The ORI number is assigned by the FBI and is your agency's unique identifier. The COPS Office uses the first seven characters of this number. The first two letters are your state abbreviation, the next three numbers are your county's code, and the next two numbers identify your jurisdiction within your county. If you do not currently have an ORI number, the COPS Office will assign one to your agency for the purpose of tracking your grant. ORI numbers assigned to agencies by the COPS Office may end in "ZZ."

B. Applicant Data Universal Numbering System (DUNS) Number: 075841684

A Data Universal Numbering System (DUNS) Number is required. A DUNS number is a unique nine or thirteen digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. For more information about how to obtain a DUNS number, please refer to the "How to Apply" section of the COPS Application Guide.

C. Central Contractor Registration (CCR)

All applicants (other than individuals) are required to maintain current registrations in the Central Contractor Registration (CCR) database. The CCR database is the repository for standard information about federal financial assistance applicants, recipients, and sub-recipients. For more information about how to register with the CCR, please refer to the "How to Apply" section of the COPS Application Guide. Please note that applicants must update or renew their CCR at least once per year to maintain an active status.

Your CCR Registration is set to expire on 02/24/2012

Note: This information was received directly from the CCR database. If this information is incorrect, please contact the CCR Service Desk at 866-606-8220 or view/update your registration information at <https://www.bpn.gov/ccr/default.aspx>. If your CCR registration is set to expire prior to 09/30/2011, please renew your CCR Registration prior to completing this application.

D. Geographic Names Information System (GNIS) ID: 2002111

Please enter your Geographic Names Information System (GNIS) Identification Number. This is a unique ID assigned to all geographic entities by the U.S. Geological Survey. To look up your GNIS Feature ID, please go to the website: <http://geonames.usgs.gov/domestic/index.html>. For more information about how to obtain a GNIS number, please refer to the "How to Apply" section of the COPS Application Guide.

E. Cognizant Federal Agency: Department of Justice

Select the legal applicant's Cognizant Federal Agency. A Cognizant Federal Agency, generally, is the federal agency from which your jurisdiction receives the most federal funding. Your Cognizant Federal Agency also may have been previously designated by the Office of Management and Budget. Applicants that have never received federal funding should select the "Department of Justice" as the Cognizant Federal Agency.

F. Fiscal Year: 7/1/2011 **to** 06/30/2012 **(mm/dd)**

Enter the month and day of the legal applicant's fiscal year.

Section 3: GENERAL AGENCY INFORMATION

G. Service Population

1. Enter the total population of the government entity applying for this grant using the latest census estimate available in the American Fact Finder at <http://FactFinder.census.gov>.

66761

2. Check here if the population of the entity applying for this grant is not represented by U.S. Census figures (e.g., colleges, special agencies, school police departments, etc.). ☐

2a. If the population of the entity applying for this grant is not represented by U.S. Census figures, please indicate the size of the population as of the latest available estimate:

0

2b. Please indicate the source of this population estimate:
(e.g., website address)

3. What is the actual population your department serves as the primary law enforcement entity?

This may or may not be the same as the population specified above. For example, a service population may be the census population minus incorporated towns and cities that have their own police department within your geographic boundaries or estimates of ridership (e.g., transit police) or visitors (e.g., park police). An agency with primary law enforcement authority is defined as having first responder responsibility to calls for service for all types of criminal incidents within its jurisdiction.

66791

3a. If applicable, please explain why the service population differs from the census population:

H. Law Enforcement Agency Sworn Force Information

1. Enter the Fiscal Year Budgeted Sworn Force Strength for the current fiscal year below. The budgeted number of sworn officer positions is the number of sworn positions funded in your agency's budget,including funded but frozen positions, as well as state, Bureau of Indian Affairs, and/or locally funded vacancies. Do not include unfunded vacancies or unpaid/reserve officers.

a. Number of officers funded in agency's current fiscal year budget:

Full-Time: 124 Part-Time: 0

2. Enter the Fiscal Year Actual Sworn Force Strength as of the date of this application. *The actual number of sworn officer positions is the actual number of sworn positions employed by your agency as of the date of this application. Do not include funded but currently vacant positions or unpaid positions.*

a. Number of officers employed by your agency as of the date of this application:

Full-Time: 124 Part-Time: 0

SECTION 4: EXECUTIVE INFORMATION

Note: Listing individuals without ultimate programmatic and financial authority for the grant could delay the review of your application, or remove your application from consideration.

A. Law Enforcement Executive/Agency Executive Information:

For Law Enforcement Agencies: Enter the law enforcement executive's name and contact information. This is the highest ranking law enforcement official within your jurisdiction (e.g., Chief of Police, Sheriff, or equivalent).

For Non-Law Enforcement Agencies: Enter the highest ranking individual in the applicant agency (e.g., CEO, President, Chairperson, Director) who has the authority to apply for this grant on behalf of the applicant agency. If the grant is awarded, this position would ultimately be responsible for the programmatic implementation of the award.

Your agency previously indicated that if awarded, this grant would be used in a written contracting arrangement to receive law enforcement services (e.g., a town which is contracting with a neighboring sheriff's department to receive services). Therefore, for question 4A, please provide the executive information for the agency which will be providing the law enforcement services under this grant (e.g., Sheriff). For question 4B, please provide executive information for the government agency which will be receiving the law enforcement services under this grant (i.e., Mayor, City Manager, etc.).

Title: Chief of Police Interim/Acting: ☐

First Name: Daniel MI: MI Last Name: Trelka Suffix:

Agency Name: City of Waterloo

Street Address1: 715 Mulberry Street

Street Address2:

City: Waterloo State: IA Zipcode: 50703

Telephone: 3192914339 Fax: 3192914332

Email:

B. Government Executive/Financial Official Information:

For Government Agencies: Enter the government executive's name and contact information. This is the highest ranking official within your jurisdiction (e.g., Mayor, City Administrator, Tribal Chairman, or equivalent).

For Non-Government Agencies: Enter the name and contact information of the financial official who has the authority to apply for this grant on behalf of the applicant agency (e.g., Treasurer). If the grant is awarded, this position would ultimately be responsible for the financial management of the award. Please note that information for non-executive positions (e.g., clerks, trustees, etc.) is not acceptable.

Title: Mayor Interim: ☐

First Name: Buck MI: MI Last Name: Clark Suffix:

Agency Name: City of Waterloo

Street Address1: 715 Mulberry Street

Street Address2:

City: Waterloo State: IA Zipcode: 50703

Telephone: 3192914301 Fax: 3192914286

Email:

SECTION 6A: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

COPS Office grants must be used to reorient the mission and activities of law enforcement agencies through initiating community policing or enhancing their involvement in community policing. If awarded funds, your responses to this section will constitute your agency's community policing plan under this grant. Your organization may be audited or monitored to ensure that it is initiating or enhancing community policing in accordance with this plan. The COPS Office may also use this information to understand the needs of the field, and potentially provide for training, technical assistance, problem solving and community policing implementation tools.

We understand that your community policing needs may change during the life of your grant (if awarded), and **minor changes to this plan may be made without prior approval from the COPS Office**. We also recognize that this plan may incorporate a broad range of possible community policing strategies and activities, and that your agency may implement particular community policing strategies from the plan on an as-needed basis throughout the life of the grant. **If your agency's community policing plan changes significantly, however, you must submit those changes in writing to the COPS Office for approval.** Changes are "significant" if they deviate from the range of possible community policing activities identified and approved in this original community policing plan submitted with your application.

SECTION 6A: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

Community Policing Definition Framework

The following is the COPS Office definition of community policing that emphasizes the primary components of community partnerships, organizational transformation, and problem solving.

Community policing is a philosophy that promotes organizational strategies, which support the systematic use of partnerships and problem-solving techniques, to proactively address the immediate conditions that give rise to public safety issues, such as crime, social disorder, and fear of crime.

The COPS Office has completed the development of a comprehensive community policing self-assessment tool for use by law enforcement agencies. Based on this work, we have developed the following list of primary sub-elements of community policing. Please refer to the COPS Office website (www.cops.usdoj.gov) for further information regarding these sub-elements.

<u>Community Partnerships:</u> Collaborative partnerships between the law enforcement agency and the individuals and organizations they serve to both develop solutions to problems and increase trust in police.	<u>Organizational Transformation:</u> The alignment of organizational management, structure, personnel and information systems to support community partnerships and proactive problem-solving efforts.	<u>Problem Solving:</u> The process of engaging in the proactive and systematic examination of identified problems to develop effective responses that are rigorously evaluated.
Agency Management		
Other Government Agencies Community Members/Groups Non-Profits/Service Providers Private Businesses Media	Climate and culture Leadership Labor relations Decision-making Strategic planning Policies Organizational evaluations Transparency	Scanning: Identifying and prioritizing Analysis: Analyzing problems Response: Responding to problems Assessment: Assessing problem-solving initiatives Using the Crime Triangle to focus on immediate conditions (Victim/Offender/Location)
Organizational Structure		
Organizational Structure Geographic assignment of officers Despecialization Resources and finances		
Personnel		
Recruitment, hiring and selection Personnel supervision/evaluations Training		
Information Systems (Technology)		
Communication/access to data Quality and accuracy of data		

SECTION 6A: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

Proposed Community Policing Plan

COPS grants must be used to initiate or enhance community policing activities, either directly by your law enforcement agency, or (for non-law enforcement applicants) in collaboration with law enforcement. Please complete the following questions to describe the types of community policing activities that you are currently engaged in and that will result from COPS funding. For each question, answer on behalf of the applicant law enforcement agency, or for non-law enforcement applicants, the law enforcement agency with whom you will collaborate.

You may find more detailed information about community policing at the COPS Office website <http://www.cops.usdoj.gov/Default.asp?Item=36>.

Community Partnerships

Community partnerships are ongoing collaborative relationships between law enforcement and the individuals and organizations they serve to both develop solutions to problems and increase trust in the police.

My Agency:

P1) Regularly distributes relevant crime and disorder information to community members.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

P2) Routinely seeks input from the community to identify and prioritize neighborhood problems (e.g., through regularly scheduled community meetings, annual community surveys, etc.).

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

P3) Regularly collaborates with local government agencies that deliver public services.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☒ YES

☐ NO

b) ☐ NO

If no, do not you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

P4) Regularly collaborates with non-profit organizations and/or community groups.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

P5) Regularly collaborates with local businesses.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

P6) Regularly collaborates with informal neighborhood groups and resident associations.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

If no, do you plan to use grant funding to initiate or implement this activity?

b) ☐ NO

☐ YES

☐ NO

P7) Regularly collaborates with federal government agencies through formal partnerships (e.g., taskforces, working groups, etc.).

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☒ YES

☐ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

SECTION 6A: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

Problem Solving

Problem solving is an analytical process for systematically (1) identifying and prioritizing problems, (2) analyzing problems, (3) responding to problems, and (4) evaluating problem-solving initiatives. Problem solving involves an agency-wide commitment to go beyond traditional police responses to crime to proactively address a multitude of problems that adversely affect quality of life.

My Agency:

PS1) Routinely incorporates problem-solving principles into patrol work.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☒ YES

☐ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

PS2) Identifies and prioritizes crime and disorder problems through the routine examination of patterns and trends involving repeat victims, offenders, and locations.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☒ YES

☐ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

PS3) Routinely explores the underlying factors and conditions that contribute to crime and disorder problems.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☒ YES

☐ NO

b) ☐ NO

If no, do not you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

PS4) Systematically tailors responses to crime and disorder problems to address their underlying conditions.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☒ YES

☐ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

PS5) Regularly conducts assessments to determine the effectiveness of responses to crime and disorder problems.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

SECTION 6A: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

Organizational Transformation

Organizational transformation is the alignment of organizational management, structure, personnel and information systems to support community partnerships and proactive problem-solving efforts.

My Agency:

OT1) Incorporates community policing principles into the agency's mission statement and strategic plan.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

OT2) Practices community policing as an agency-wide effort involving all staff (i.e., not solely housed in a specialized unit).

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

OT3) Incorporates problem-solving and partnership activities into personnel performance evaluations.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do not you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

SECTION 6A: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

Technology

Technology provides agencies with the tools to communicate more effectively externally with the public and internally with their own staff, and the ability to understand and analyze community problems.

My Agency:

TEC01) Ensures that agency staff have appropriate access to relevant data (e.g., calls for service, incident and arrest data, etc.).

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☒ YES

☐ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

TEC02) Uses technology (e.g., crime mapping or statistical software) to analyze and understand problems in the community.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

TEC03) Uses technology (e.g., GIS/GPS for deployment or laptops for field reporting) to improve the agency's overall efficiency and effectiveness.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do not you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

TEC04) Provides officers with necessary equipment to better prevent and/or respond to crime and disorder problems.

a) ☒ YES

If yes, do you plan to use grant funding to enhance or expand this activity?

☐ YES

☒ NO

b) ☐ NO

If no, do not you plan to use grant funding to initiate or implement this activity?

☐ YES

☐ NO

SECTION 6A: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

Community Policing Plan Narrative

Please describe your agency's implementation plan for this program (if awarded), with specific reference to each of the following elements of community policing: (a) community partnerships and support, including consultation with community groups, private agencies, and/or other public agencies; (b) related governmental and community initiatives that complement your agency's proposed use of COPS funding; and (c) organizational transformation – how your agency will use these funds, if awarded, to reorient its mission to community policing or enhance its involvement in and commitment to community policing.

In the space provided, please address your agency's implementation plan for this program with specific reference to each of the following elements of community policing:

(a) Community partnerships and support, including consultation with community groups, private agencies, and/or other public agencies.

[Responses are limited to a maximum of 5,000 characters.]

(b) Related governmental and community initiatives that complement your agency's proposed use of COPS funding.

[Responses are limited to a maximum of 5,000 characters.]

(c) Organizational transformation – how your agency will use these funds, if awarded, to reorient its mission to community policing or enhance its involvement in and commitment to community policing.

[Responses are limited to a maximum of 5,000 characters.]

CP1) To what extent is there community support in your jurisdiction for implementing the proposed grant activities?

- ☒ High level of support
- ☐ Moderate support
- ☐ Minimal support

CP2) If awarded, to what extent will the grant activities impact the other components of the criminal justice system in your jurisdiction?

- ☒ Potentially decreased
- ☐ No change in burden
- ☐ Potentially increased

SECTION 7: NEED FOR FEDERAL ASSISTANCE

A. Waivers of the Local Match

Section Not Applicable to 2011 COPS Application Attachment

B. Explanation of Need for Federal Assistance

All applicants are required to address the need for federal assistance. In the space below, please provide a brief explanation of your agency's inability to address your public safety needs and implement this project without federal assistance.

[Please limit your response to a maximum of 3,000 characters.]

The Waterloo Schools need to improve the safety and security of our facilities, but we are limited by cuts and uncertainty in state funding at a time when operating costs are escalating. Our community rates the safety of their children as a top priority. As active partners with the Waterloo Police Department, we seek to prevent crime in our schools and increase the trust our stakeholders have that our schools are safe.

Along with other Iowa school districts, we received a 10% across the board cut in state funding for FY10, removing \$5.5 million from our general fund revenue. State aid for FY11 increased only 2%, as opposed to a normal 4%, resulting in reduced revenue of an additional \$4.0 million, forcing the district to make deeper spending cuts. The Iowa legislature has not yet determined funding increases for FY12, but it will range between 0% and 2%.

We continue to close schools (two elementaries in the past several years) and consolidate programming (three sites moved into one building this winter). A hiring freeze and an early retirement incentive have reduced our workforce by 40 staff members. Further cost reductions and service sharing with our City government are expected to result in a minimum savings of \$4 million in a general fund budget of \$100 million. We have focused operating cost reductions in the areas of custodial and maintenance, energy, staffing, building utilization, and transportation, seeking to protect the classroom as much as possible.

As a District in Need of Assistance, with four of Iowa's Tier 1 Persistently Lowest Achieving Schools and 15 Schools in Need of Assistance, more of our limited resources must be dedicated to the classroom to improve achievement of all students, while we find ways to prevent and mitigate delinquent behaviors, especially violent offenses, which are increasing every year. Structural and technological conditions in some of our schools limit monitoring and control of entries, and budgetary restraints limit our ability to hire additional employees to supervise entrance points more closely. The proliferation of interior keys at the high schools has compromised security of classrooms and offices beyond an easy remedy short of re-keying. Gaps in campus surveillance and staff communication capabilities are quickly exploited with incidents of student violence and bullying. Student identification cards have proved valuable at our high schools, and expanding this quick means of identifying students to our middle schools will help in intervention and investigation of incidents.

The District can match Federal funding and thus greatly leverage local dollars, improving security and safety equipment, but would not be able to afford these projects without grant assistance. Our long-standing collaboration with law enforcement will be strengthened by these improvements.

SECTION 8: CONTINUATION OF PROJECT AFTER FEDERAL FUNDING ENDS

B. Continuation of Project after Federal Funding Ends (for other COPS grants with no retention plan requirement)

Please complete these questions to indicate any plans you may have to continue this program, project, or activity after the conclusion of federal funding

1. Does your agency plan to obtain necessary support and continue the program, project, or activity following the conclusion of federal support?

YES ☒ NO ☐

2. Please identify the source(s) of funding that your agency plans to utilize to continue the program, project, or activity following the conclusion of federal support: *(check all that apply)*

- ☒ General funds
- ☐ Raise bond/tax issue
- ☐ Private sources/donations
- ☐ Non-federal asset forfeiture funds (subject to approval from the state or local oversight agency)
- ☐ Fundraising efforts
- ☐ State, local, or other non-federal grant funding
- ☒ Other (Please provide a brief description of the source(s) of funding not to exceed 350 characters.)

The projects of this grant would be continued by the Waterloo Community Schools through their general funds.

SECTION 9: SCHOOL SAFETY ASSESSMENT

Agencies which have conducted a school safety assessment within the last three years must answer questions 1-5 regarding the assessment. If your agency has not conducted a school safety assessment within the last three years, your agency must answer questions 6-11, but these questions will be focused on the assessment that will be conducted during the grant implementation period. Your agency may request funding through this grant application to conduct a school safety assessment. Please note, your agency may request other allowable items and is not limited to solely funding a school safety assessment.

Has your agency conducted an assessment within the last three years?

Yes

1. When was the assessment conducted?

5/29/2009 12:00:00 AM

2. Who conducted the assessment? Identify ALL internal and external parties involved (e.g. teachers, students, parents, community stakeholders, local businesses, emergency management personnel, security assessment evaluators and/or consultants).

☒ Teachers

☒ Students

☒ Parents

☒ Community Stakeholders

☐ Local Business

☒ Emergency Management Personnel

☒ School Security/Safety Personnel

☒ Consultants

☒ School Administrators

☒ Other National School Safety and Security Services, led by Ken Trump, conducted a district School Security

3. Identify what aspects of school safety and security were assessed. Select all that apply:

☒ Security and Surveillance Systems

☒ Building Access Control

☒ Classroom Security

☒ Student and Teacher Handbooks

☒ Emergency Communications

☒ Safety and Security of School Grounds

☒ School Code of Conduct

☒ Emergency Preparedness/Crisis Plans

☒ School Incident and Discipline Data

☒ Evaluation of Site Access Control Systems

☒ Indoor/Outdoor Athletic Facilities

☐ Other

4. What were the findings of the assessment? Specifically outline the areas of the school(s) where problems were identified and the proposed recommendations for resolution. Please note: All budget items requested must be justified in your budget narrative as a result of these findings of the assessment. Answers are limited to 2000 characters.

5. Did the assessment include any staff, teacher, student, or parent survey data related to school climate?

☒ Yes ☐ No

If yes, please describe the survey instrument your agency used. Answers are limited to 1000 characters.

Yes, consultants reviewed the parent, staff, and student responses to our community needs assessment (conducted by the Center for Social and Behavioral Research at the University of Northern Iowa in the fall of 2008) and interviewed staff, teachers, and community emergency representatives as part of their data collection. The 2008 needs assessment was completed by a target sample of adults, by phone, with questions including school culture and atmosphere and safety. Another source of school climate assessment is the Iowa Youth Survey, sponsored by the Iowa Departments of Public Health, Education, and Human Rights, and the Governor's Office of Drug Control Policy. The survey is done every three years with Iowa students in grades, 6, 8, and 11. Behaviors and attitudes related to substance abuse, violence, bullying, and other risky behaviors; safety at school and in the community, supports in the family, school, and community; and preparation for a successful adulthood are measured.

SECTION 10: EXECUTIVE SUMMARY

Please provide a brief summary of how your agency will use this federal funding. Refer to the COPS Application Guide for clarification on specific information to include in your summary, and be sure to provide a description of how you expect this grant to impact public safety and/or crime prevention in your community. The Executive Summary may be used to keep Congress or other executive branch agencies informed on law enforcement strategies to deter crime in your community.

[Please limit your responses to a maximum of 3,000 characters.]

SECTION 11: PROJECT DESCRIPTION (NARRATIVE)

Please include in your application an in-depth narrative response detailing your proposed project. Please refer to the program-specific section of the COPS Application Guide: "How to Apply" section for information on what should be included in your response, as well as any additional formatting requirements and page length limitations. **Note: Child Sexual Predator Program (CSPP) and Community Policing Development (CPD) grant applicants must submit their entire project description narrative as an attachment in Section 13 of this application.**

A. Problem Identification

[Responses are limited to a maximum of 3,000 characters.]

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B. Project Goals/Objectives

[Responses are limited to a maximum of 3,000 characters.]

C. Building Relationships and Solving Problems *[Responses are limited to a maximum of 3,000 characters.]*

D. Implementation Plan

[Responses are limited to a maximum of 3,000 characters.]

E. Evaluation Plan/Effectiveness of Program

[Responses are limited to a maximum of 3,000 characters.]

SECTION12:OFFICIALPARTNER(S)CONTACT INFORMATION

An official "partner" under the grant may be a governmental, private, school district, or other applicable entity that has established a legal, contractual, or other agreement with the applicant for the purpose of supporting and working together for mutual benefits of the grant. Please see the COPS Application Guide for more information on official partners that may be required.

Title: Superintendent

First Name: GaryMI: Last Name: NorrisSuffix:

Name of Partner Agency (e.g., Smithville High School):Waterloo Community School District

Type of Partner Agency (e.g., School District):School District

Street Address 1: 1516 Washington Street

Street Address 2:

City: WaterlooState: IAZip Code: 50702

Telephone : 3194331800Fax: 3194331889

Email:

Person Submitting this Application:

☒ By clicking this box and typing my name below, I certify that I have been legally and officially authorized by the appropriate governing body to identify the partner(s) listed above and act on behalf of the grant applicant entity. I also certify that the above agency (or agencies) is a partner (or are partners) to the grant project as required by the grant and that our agencies mutually agreed to this partnership as related to this grant project prior to submission of this grant application. In addition, I certify that the information provided above regarding the partner(s) is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the Federal Government.

Please type your name here in place of your signature:

Gary Norris

SECTION 13: APPLICATION ATTACHMENTS

This section should be used to attach any required or applicable attachments to your grant application (e.g., Budget Narrative, Memorandum of Understanding, etc.).

If the program for which you are applying requires a Memorandum of Understanding (MOU), this document should define the roles and responsibilities of the individuals and partner(s) involved in your proposed project. Please refer to the program-specific Application Guide to determine if an MOU or other application attachments are required. The Guide will also specify if optional attachments are permitted for submission.

File Name	Attachment
IA00703_424_10868734.pdf	424 Attachment
Grassley Letter of Support.pdf	Other
Harken Letter of Support.pdf	Other
STF DATA Name Company 2010-2011.pdf	Other
11 School Safety Assessment attachment.docx	Other

SECTION 14: BUDGET DETAIL WORKSHEETS

Instructions for Completing the Budget Detail Worksheets

The following Budget Detail Worksheets are designed to allow all COPS grant and cooperative agreement applicants to use the same budget forms to request funding. Allowable and unallowable costs vary widely and depend upon the type of COPS program. The maximum federal funds that can be requested and the federal/local share breakdown requirements also vary.

Please refer to the program-specific Application Guide to determine the allowable/unallowable costs, the maximum amount of federal funds that can be requested, and the federal/local share requirements for the COPS program for which your agency is applying. To assist you, sample Budget Detail Worksheets are included in each Application Guide.

Please complete each section of the Budget Detail Worksheets applicable to the program for which you are applying (see the program-specific Application Guide for requirements). If you are not requesting anything under a particular budget category, please check the appropriate box in that category indicating that no positions or items are requested.

All calculations should be rounded to the nearest whole dollar. Once the budget for your proposal has been completed, a budget summary page will reflect the total amounts requested in each category, the total project costs, and the total federal and local shares.

If you need assistance in completing the Budget Detail Worksheets, please call the COPS Office Response Center at 800.421.6770.

SECTION 14: BUDGET DETAIL WORKSHEETS

Instructions: This worksheet will assist your agency in reporting your agency's current entry-level salary and benefits and identifying the total salary and benefits request per officer position for the length of the grant term. Please list the current entry-level base salary and fringe benefits rounded to the nearest whole dollar for one full-time sworn officer position within your agency. Do not include employee contributions. (Please refer to the program-specific Application Guide for information on the length of the grant term for the program under which you are applying.)

Special note regarding sworn officer fringe benefits: For agencies that do not include fringe benefits as part of the base salary costs and typically calculate these separately, the allowable expenditures may be included under Part 1, Section B. Any fringe benefits that are already included as part of the agency's base salary (Part 1, Section A of the Sworn Officer Budget Worksheet) should not also be included in the separate fringe listing (Part 1, Section B).

Please refer to the program-specific Application Guide for information about allowable and unallowable fringe benefits for sworn officer positions requested under the program to which your agency is applying.

C. EQUIPMENT/TECHNOLOGY

Instructions:List non-expendable items that are to be purchased. **Provide a specific description for each item and explain how the item supports the project goals and objectives as outlined in your application.** Non-expendable equipment is tangible property (e.g., technology) having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. Expendable items should be included either in the “**SUPPLIES**” or “**OTHER**” categories. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially for high-price items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the “**CONTRACTS / CONSULTANTS**” category.

Please be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

For agencies purchasing items related to enhanced communications systems, the COPS Office expects and encourages that, wherever feasible, such voice or data communications equipment should be incorporated into an intra- or interjurisdictional strategy for communications interoperability among federal, state, and local law enforcement agencies.

See the [program-specific Application Guide](#) for a list of allowable/unallowable costs for this program. Agencies are encouraged to limit their requests to the lines shown below and group similar items together so that all items are accounted for on the budget worksheet for each category. However, if your agency requires more lines please check the available box. Please limit your descriptions to 1000 characters.

Item Name	Computation (# of Items/Units X Unit Cost)	Per Item SubTotal	Description
Electronic control door systems	(2 X 5000)	\$10,000.00	NES Keyless entry system software and hardware for two entries, one at Expo Alternative Learning Center and one at Poyner Elementary; these will supplement existing electronically controlled entrances and help prevent unauthorized access while recording who is entering and when .
Exterior Doors	(3 X 6800)	\$20,400.00	3 pairs of medium stile aluminum doors for East High School; removal of existing doors; installation and supplies; these will replace defective doors at one multiple-doored entrance that do not close fully and leave the building subject to unauthorized entry

Surveillance cameras/monitors	(34 X 300)	\$10,200.00	Expo, East. Lou Henry--Expansion of the existing surveillance systems at East High (10) and Expo Alternative Learning Center (10) to include more camera locations for better monitoring of both interior and exterior spaces, serving as deterrents to criminal activity and aiding in the investigation and prosecution of incidents. System specifications will include battery backup. Law enforcement will be able to tap into the system to view real time surveillance and to manipulate cameras, as their needs require. Access to this system will also be available at remote sites. The expanded access to more areas of the buildings and campuses will improve safety and security at the sites. Upgrade of the system at Lou Henry (14) to a digital format will provide improved images with greater deterrent and investigatory value.
Surveillance Camera Networkable DVR	(3 X 2500)	\$7,500.00	One Clinton CE-DVR 1.5TB HDD, 16 Channel networkable DVR each for East High, Expo Alternative Learning Center, and Lou Henry Elementary
Surveillance Camera Power supply	(3 X 300)	\$900.00	One Clinton CE-24AC 16-8 16 Camera Power supply each for East High, Expo Alternative Learning Center, and Lou Henry Elementary
Breathalyzers	(6 X 45)	\$270.00	Two AlcoI HAWK Slim Breathalyzers for each East High, West High, and Expo Alternative Learning Center
Two way radios	(16 X 250)	\$4,000.00	Motorola two way radios for Expo Alternative Learning Center (6), Bunker Middle School (4), and Cunningham School for Excellence (6) to supplement existing radios to provide better staff communication.
ID printer	(3 X 975)	\$2,925.00	ID card printers for middle schools to print cards (one building already has a printer); needed to support ID card implementation
ID printing software	(3 X 300)	\$900.00	Software for ID card printers; needed to support ID card implementation
Slot punch for ID cards	(4 X 45)	\$180.00	Slot punch for ID cards in 4 middle schools; needed to support ID card implementation
		Equipment/Technology Total: \$57,275.00	

D. SUPPLIES

Instructions: List items by type (office supplies; postage; training materials; copying paper; books; handheld tape recorders; etc). **Provide a specific description for each item and explain how it supports the project goals and objectives outlined in your application.** Generally, supplies include any materials that are expendable or consumed during the course of the project.

See the [program-specific Application Guide](#) for a list of allowable/unallowable costs for this program.

Agencies are encouraged to limit their requests to the lines shown below and group similar items together so that all items are accounted for on the budget worksheet for each category. However, if your agency requires more than lines please check the available box. Please limit your descriptions to 1000 characters.

Item Name	Computation (# of Items/Units X Unit Cost)	Per Item SubTotal	Description
Bullying and violence prevention manuals	(2000 X 10)	\$20,000.00	Manuals for each participant in the bullying and prevention training, to support sustained and systemic effort for all stakeholders
Student IDs year 1	(2250 X 1)	\$2,250.00	Multi-purpose student picture IDs for Bunger, Carver, Central, and Hoover Middle Schools
Student IDs year 2	(2250 X 1)	\$2,250.00	Multi-purpose student picture IDs for Bunger, Carver, Central, and Hoover Middle Schools
ID lanyards year 1 and 2	(4500 X 1)	\$4,500.00	Lanyards for multi-purpose student picture IDs for Bunger, Carver, Central, and Hoover Middle Schools; 2 year supply
ID printer ribbons	(24 X 75)	\$1,800.00	For printing multi-purpose student picture IDs for Bunger, Carver, Central, and Hoover Middle Schools
Breathalyzer Mouth Pieces	(3 X 35)	\$105.00	One pack of 100 single use breathalyzer mouthpieces for each of three high schools
		Supplies Total: \$30,905.00	

E. TRAVEL/TRAINING

Instructions: Itemize grant-related travel expenses of grantee personnel (excluding consultants, whose

expenses are listed in Section F) by event (e.g., mandatory training, staff to training, field interviews, advisory group meetings). Identify the location of travel whenever possible, and show the number of staff expected to attend each event. Training fees, transportation, lodging and per diem rates for trainees should be listed as separate travel items. Grantee travel costs specific to the grant project may be based on the grantee's written travel policy, assuming the costs are reasonable. Grantees without a written travel policy must follow the established federal rates (found at www.gsa.gov) for lodging, meals, and per diem. For all grantees (with or without a written travel policy), airfare travel costs must be one of the following: the lowest discount commercial airfare, standard coach airfare, or the Federal Government contract airfare (if authorized and available). Note: Any local training costs (within a 50-mile radius) should be listed under Section G ("Other Costs").

See the [program-specific Application Guide](#) for a list of allowable/unallowable costs for this program.

Agencies are encouraged to limit their requests to the lines shown below and group similar items together so

that all items are accounted for on the budget worksheet for each category. However, if your agency requires more lines please check the available box. Please limit your descriptions to 1000 characters.

Event Title and Location	Event Costs	Number of Staff	Per Event Subtotal	Description
		Travel/Training Total:		

F. CONTRACTS/CONSULTANTS

Instructions: See the [program-specific Application Guide](#) for a list of allowable/unallowable costs for the particular program to which you are applying. Please limit your descriptions to 1000 characters

1. Contracts: Provide a cost estimate for the product or service to be procured by contract. Applicants are encouraged to promote free and open competition in awarding contracts. If awarded, requests for sole source procurements of equipment, technology, or services in excess of \$100,000 must be submitted to the COPS Office for prior approval. (See Application Guide for more information on the required submission.)

Contract Name	Per Contract Subtotal	Description
Rekey High Schools	\$26,000.00	
Bullying/Violence Prevention Training, year 1	\$14,300.00	
Bullying/Violence Prevention Training, year 2	\$14,300.00	
Contract Subtotal: \$54,600.00		

2. Consultant Fees: For each consultant enter the name (if known), service to be provided, hourly or daily fee (based upon an 8-hour day), and estimated length of time on the project. Unless otherwise approved by the COPS Office, approved consultant rates will be based on the salary a consultant receives from his or her primary employer. Consultant fees in excess of \$550 per day require additional written justification and must be pre-approved in writing by the COPS Office if the consultant is hired via a noncompetitive bidding process.

Consultant Name/Title	Service Provided	Computation (Cost X # Days or # Hours)	Per Consultant Fee Subtotal	Description
			Consultant Fees Subtotal:	

3. Consultant Travel: List all travel-related expenses to be paid from the grant to the individual consultants (e.g., transportation, meals, lodging) separate from their consultant fees.

Consultant Name/Event Title	Event Costs	Number of Staff	Per Consultant Travel Subtotal	Description
			Consultant Travel Subtotal:	

4. Consultant Expenses: List all travel-related expenses to be paid from the grant to the individual consultants separate from their consultant fees and travel expenses (e.g., computer equipment and office supplies).

Consultant Name/Title	Item(s)	Per Event Subtotal	Description
		Consultant Expenses Subtotal:	
Contracts/Consultants	(Contracts (F1) + Consultant Fees (F2) + Consultant Travel (F3) + Consultant Expenses(F4))		

G. OTHER COSTS

Instructions: List other requested items that will support the project goals and objectives as outlined in your application. **Provide a specific description for each item and explain how the item supports the project goals and objectives as outlined in your application.**

Please be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

See the [program-specific Application Guide](#) for a list of allowable/unallowable costs for this program. Agencies are encouraged to limit their requests to the lines shown below and group similar items together so that all items are accounted for on the budget worksheet for each category. However, if your agency requires more than lines please check the available box. Please limit your descriptions to 1000 characters.

Item Name	Computation (# of Items/Units X Unit Cost)	Per Item SubTotal	Description
		Other Costs Total:	

BUDGET SUMMARY

Instructions: Please review the category totals and the total project costs below. If the category totals and project amounts shown are correct, please continue with the submission of your application. Should you need to make revisions to a budget category, click the "Edit" button for that category. Note: Agencies applying for Secure Our Schools (SOS) must enter a "Total Local Share Amount" percentage of 50% in the designated area below. Applicants for all other Fiscal Year 2010 COPS Grants are not required to provide a local match.

Budget Category	Category Total
A Sworn Officer Positions	\$0.00
B Civilian/Non-Sworn Personnel	\$0.00
C Equipment/Technology	\$57,275.00
D Supplies	\$30,905.00
E Travel/Training	\$0.00
F Contracts/Consultants	\$54,600.00
G Other Costs	\$0.00
H Indirect Costs	\$0.00
Total Project Amount	\$142,780.00
Total Federal Share Amount	\$71,390.00
Total Local Share Amount	\$71,390.00

Contact Information for Budget Questions

Please provide contact information of the financial official that the COPS Office may contact with questions related to your budget submission.

Authorized Official's Typed Name: Sharon Miller

Title:	Executive Director of School & Community Relations
Phone:	3194331826
Fax:	3194331889
Email:	

SECTION 15A: ASSURANCES

Several provisions of federal law and policy apply to all grant programs. The Office of Community Oriented Policing Services needs to secure your assurance that the applicant will comply with these provisions. If you would like further information about any of these assurances, please contact your state's COPS Grant Program Specialist at 800-421-6770.

By signing this form, the applicant assures that it will comply with all legal and administrative requirements that govern the applicant for acceptance and use of federal grant funds. In particular, the applicant assures us that:

1. It has been legally and officially authorized by the appropriate governing body (for example, mayor or city council) to apply for this grant and that the persons signing the application and these assurances on its behalf are authorized to do so and to act on its behalf with respect to any issues that may arise during processing of this application.
2. It will comply with the provisions of federal law, which limit certain political activities of grantee employees whose principal employment is in connection with an activity financed in whole or in part with this grant. These restrictions are set forth in 5 U.S.C. § 1501, et seq.
3. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act, if applicable.
4. It will establish safeguards, if it has not done so already, to prohibit employees from using their positions for a purpose that is, or gives the appearance of being, motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business or other ties.
5. It will give the Department of Justice or the Comptroller General access to and the right to examine records and documents related to the grant.
6. It will comply with all requirements imposed by the Department of Justice as a condition or administrative requirement of the grant, including but not limited to: the requirements of 28 CFR Part 66 and 28 CFR Part 70 (governing administrative requirements for grants and cooperative agreements); 2 CFR Part 225 (OMB Circular A-87), 2 CFR 220 (OMB Circular A-21), 2 CFR Part 230 (OMB Circular A-122) and 48 CFR Part 31.000, et seq. (FAR 31) (governing cost principles); OMB Circular A-133 (governing audits) and other applicable OMB circulars; the applicable provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended; 28 CFR Part 38.1; the applicable COPS Application Guidelines; the applicable COPS Grant Owner's Manuals; and with all other applicable program requirements, laws, orders, regulations, or circulars.
7. It will, to the extent practicable and consistent with applicable law, seek, recruit and hire qualified members of racial and ethnic minority groups and qualified women in order to further effective law enforcement by increasing their ranks within the sworn positions in the agency.
8. It will not, on the ground of race, color, religion, national origin, gender, disability or age, unlawfully exclude any person from participation in, deny the benefits of or employment to any person, or subject any person to discrimination in connection with any programs or activities funded in whole or in part with federal funds. These civil rights requirements are found in the non-discrimination provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 U.S.C. § 3789d); Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. § 2000d); the Indian Civil Rights Act (25 U.S.C. §§ 1301-1303); Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794); Title II, Subtitle A of the Americans with Disabilities Act (ADA) (42 U.S.C. § 12101, et seq.); the Age Discrimination Act of 1975 (42 U.S.C. § 6101, et seq.); and Department of Justice Non-Discrimination Regulations contained in Title 28, Parts 35 and 42 (subparts C, D, E, G and I) of the Code of Federal Regulations.
 - A. In the event that any court or administrative agency makes a finding of discrimination on grounds of race, color, religion, national origin, gender, disability or age against the applicant after a due process hearing, it agrees to forward a copy of the finding to the Office for Civil Rights, Office of Justice Programs, 810 7th Street, NW, Washington, D.C. 20531.
 - B. If your organization has received an award for \$500,000 or more and has 50 or more employees, then it has to prepare an Equal Employment Opportunity Plan (EEOP) and submit it to the Office for Civil Rights ("OCR"), Office of Justice Programs, 810 7th Street, N.W., Washington, DC 20531, for review within 60 days of the notification of the award. If your organization received an award between \$25,000 and \$500,000 and has 50 or more employees, your organization still has to prepare an EEOP, but it does not have to submit the EEOP to OCR for review. Instead, your organization has to maintain the EEOP on file and make it available for review on request. In addition, your organization has to complete Section B of the Certification Form and return it to OCR. If your organization received an award for less than \$25,000; or if your organization has less than 50 employees, regardless of the amount of the award; or if your organization is a medical institution, educational institution, nonprofit organization or Indian tribe, then your organization is exempt from the EEOP requirement. However, your organization must complete Section A of the Certification Form and return it to OCR.
9. Pursuant to Department of Justice guidelines (June 18, 2002 Federal Register (Volume 67, Number 117, pages 41455-41472)), under Title VI of the Civil Rights Act of 1964, it will ensure meaningful access to its programs and activities by persons with limited English proficiency.
10. It will ensure that any facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency' (EPA) list of Violating Facilities and that it will notify us if advised by the EPA that a facility to be used in this grant is under consideration for such listing by the EPA.
11. If the applicant's state has established a review and comment procedure under Executive Order 12372 and has selected this program for review, it has made this application available for review by the state Single Point of Contact.

12. It will submit all surveys, interview protocols, and other information collections to the COPS Office for submission to the Office of Management and Budget for clearance under the Paperwork Reduction Act of 1995 if required.

13. It will comply with the Human Subjects Research Risk Protections requirements of 28 CFR Part 46 if any part of the funded project contains non-exempt research or statistical activities which involve human subjects and also with 28 CFR Part 22, requiring the safeguarding of individually identifiable information collected from research participants.

14. Pursuant to Executive Order 13043, it will enforce on-the-job seat belt policies and programs for employees when operating agency-owned, rented or personally-owned vehicles.

15. It will not use COPS funds to supplant (replace) state, local, or Bureau of Indian Affairs funds that otherwise would be made available for the purposes of this grant, as applicable.

16. If the awarded grant contains a retention requirement, it will retain the increased officer staffing level and/or the increased officer redeployment level, as applicable, with state or local funds for a minimum of 12 months following expiration of the grant period.

17. It will not use any federal funding directly or indirectly to influence in any manner a Member of Congress, a jurisdiction, or an official of any government, to favor, adopt, or oppose, by vote or otherwise, any legislation, law ratification, policy or appropriation whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy or appropriation as set forth in the Anti-Lobby Act, 18 U.S.C. 1913.

18. In the event that a portion of grant reimbursements are seized to pay off delinquent federal debts through the Treasury Offset Program or other debt collection process, it agrees to increase the non-federal share (or, if the awarded grant does not contain a cost sharing requirement, contribute a nonfederal share) equal to the amount seized in order to fully implement the grant project.

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Daniel Trelka

5/25/2011

Signature of Law Enforcement Executive/Agency Executive

Date

DanielTrelka

Signature of Law Enforcement Executive/Agency Executive

Buck Clark

5/25/2011

Signature of Government Executive/Financial Official

Date

Buck Clark

Signature of Law Enforcement Executive/Agency Executive

SECTION 15B: CERTIFICATIONS

Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Federal Taxes and Assessments; Drug-Free Workplace Requirements; and Coordination with Affected Agencies.

Although the Department of Justice has made every effort to simplify the application process, other provisions of federal law require us to seek your agency's certification regarding certain matters. Applicants should read the regulations cited below and the instructions for certification included in the regulations to understand the requirements and whether they apply to a particular applicant. Signing this form complies with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 2 CFR Part 2867, "Nonprocurement Debarment and Suspension," Public Law 111-117 or the most recent applicable appropriations Act, 28 CFR Part 83, "Government-Wide Requirements for Drug-Free Workplace (Grants)," and the coordination requirements of the Public

Safety Partnership and Community Policing Act of 1994. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

- A. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the making of any federal grant; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment or modification of any federal grant or cooperative agreement;
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions; and
- C. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. Debarment, Suspension and Other Responsibility Matters (Direct Recipient) As required by Executive Order 12549, Debarment and Suspension, and implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Part 2867.20(a), the applicant certifies that it and its principals:

- A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department or agency;
- B. Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) or private agreement or transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion or receiving stolen property, making false claims, or obstruction of justice, or commission of any offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility;
- C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (A)(ii) of this certification; and
- D. Have not within a three-year period preceding this application had one or more public transactions (federal, state or local) terminated for cause or default.

3. Federal Taxes and Assessments

If applicable, an applicant who receives an award in excess of \$5,000,000 certifies that, to the best of its knowledge and belief, the applicant has filed all federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

4. Drug-Free Workplace (Grantees Other Than Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 83, for grantees/recipients, as defined at 28 CFR Part 83.660 -

- A. The applicant certifies that it will, or will continue to, provide a drug-free workplace by:
 - (i). Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - (ii). Establishing an on-going drug-free awareness program to inform employees about -
 - (a) The dangers of drug (a) abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug-abuse violations occurring in the workplace;
 - (iii). Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (i);
 - (iv). Notifying the employee in the statement required by paragraph (i) that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and

- (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (v). Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (iv)(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: COPS Office, 145 N St, NE, Washington, D.C. 20530. Notice shall include the identification number(s) of each affected grant;
- (vi). Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (iv)(b), with respect to any employee who is so convicted -
- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement or other appropriate agency;
- (vii). Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (i), (ii), (iii), (iv), (v), and (vi).

Grantee Agency Name and Address:

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of performance (street address, city, county, state, zip code)

City of Waterloo 715 Mulberry Street Waterloo, IA 50703

Check ☐ if there are workplaces on file that are not identified here.

5. The Public Safety Partnership and Community Policing Act of 1994 requires applicants to certify that there has been appropriate coordination with all agencies that may be affected by the applicant's grant proposal if approved. Affected agencies may include, among others, the Office of the United States Attorney, state or local prosecutors, or correctional agencies. The applicant certifies that there has been appropriate coordination with all affected agencies.

Please check here ☐ if an explanation is attached to this application.

Please note that the applicant is still required to sign the Certifications form to certify to all the other applicable statements.

Grantee Agency Name and Address:

City of Waterloo 715 Mulberry Street Waterloo, IA 50703

Grantee IRS/ Vendor
Number:

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Daniel Trelka

5/25/2011

Signature of Law Enforcement Executive/Agency Executive

Date

Daniel Trelka

Buck Clark

5/25/2011

Signature of Government Executive/Financial Official

Date

Buck Clark

SECTION 16: Disclosure of Lobbying Activities

Instructions for Completion of SF-LLL, Disclosure of Lobbying Activities

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Disclosure of Lobbying Activities

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352.

Not Applicable ☒

If not applicable, then entire form, including signature area is grayed-out

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type

☐ contract

☐ loan

☒ bid/offer/application

☒ initial filing

☒ grant

☐ loan guarantee

☐ initial award

☐ material change

☐ cooperative agreement

☐ loan insurance

☐ post-award

For Material Change Only:

Year: Quarter:

Date of Report:

4. Name and Address of Reporting

Entity: Prime

Congressional District (number), if known:

5. If Reporting Entity in No. 4 is Subawardee, Enter

Name and Address of Prime:

Congressional District (number), if known:

6. Federal Department/Agency:

USDOJCOPS

7. Federal Program Name/Description:

CFDA Number, if applicable: **16.710**

8. Federal Action Number, if known:

9. Award Amount, if known:

\$0.00

10. a. Name and Address of Lobbying

(if individual, last name, first name, MI):

10. b. Individuals Performing Services

(including address if different from No.1 0a) (last name, first name, MI):

Registrant

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Typed Name:

Title:

Phone:

Date:

Federal Use Only:

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SECTION 17: REVIEWS AND CERTIFICATIONS

1) Federal Civil Rights and Grant Reviews:

Please be advised that an application may not be funded and, if awarded, a hold may be placed on the award if it is deemed that the applicant is not in compliance with federal civil rights laws, and/or is not cooperating with an ongoing federal civil rights investigation, and/or is not cooperating with a Department of Justice grant review or audit.

2) Certification of Review of 28 C.F.R. Part 23/Criminal Intelligence Systems:

Please review the *COPS Application Guide: Legal Requirements Section* for additional information.

Please check one of the following, as applicable to your agency's intended use of this grant:

☒ No, my agency will not use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system.

☐ Yes, my agency will use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system. By signing below, we assure that our agency will comply with the requirements of 28 C.F.R. Part 23.

3) Certification of Review and Representation of Compliance with Requirements:

The signatures of the Law Enforcement Executive/Agency Executive, Government Executive/Financial Official, and the Person Submitting this Application on the Reviews and Certifications represent to the COPS Office that:

a) the signatories have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the grant applicant entity;

b) the applicant will comply with all legal, administrative, and programmatic requirements that govern the applicant for acceptance and use of federal funds as outlined in the applicable COPS Application Guide; the COPS Grant Owner's Manual, Assurances, Certifications and all other applicable program regulations, laws, orders, and circulars;

c) the applicant understands that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government; AND
d) the information provided in this application, including any amendments, shall be treated as material representations of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

The signatures of the Law Enforcement Executive/Agency Executive and the Government Executive/Financial Official on this application must be the same as those identified in Section 4 of this application. Applications with missing, incomplete, or inaccurate signatories or responses may not be considered for funding.

Daniel Trelka

5/25/2011

Signature of Law Enforcement Executive/Agency Executive

Date

(For your electronic signature, please type in your name)

DanielTrelka

Buck Clark

5/25/2011

Signature of Government Executive/Financial Official

Date

(For your electronic signature, please type in your name)

Buck Clark

Lt. Michael McNamee

5/25/2011

Signature of the Person Submitting This Application

Date

(For your electronic signature, please type in your name)

Lt. Michael McNamee

☒

By clicking this box, the applicant understands that the use of typed names in this grant application and the required grant forms, including the Assurances and Certifications, constitute electronic signatures and that the electronic signatures are the legal equivalent of handwritten signatures.